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CRISPR/Cas9-Mediated Genome Nano-Surgery: An Update

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Abstract

Recent advances in sequencing methods have prompted an upsurge in research into the modification of disease-associated genes or genes involved in drug resistance. In the early days of genome-editing, immunogenic and difficult to deliver tools with high off-target effects such as Zinc Finger Nucleases (ZFNs) and Transcription activator-like effector nucleases (TALENs) proteins were used. This was followed by the discovery of the Clustered Regularly Interspaced Short Palindromic Repeats, CRISPR/Cas9 system. This "self-non-self-discriminatory" natural defence mechanism in bacteria and archaea identifies and degrades extrachromosomal genomes or foreign genetic elements to prevent them from integrating into the prokaryotic genomes. Unlike traditional gene therapies that can merely insert a gene in a random pattern, the inexpensive and expedient CRISPR/Cas9 system was harnessed by scientists to precisely cut, add or manipulate multiple DNA sequences at specific sites.

This review will focus on the most recent studies in genome-editing by giving an overview of the past and modern methods in this field with an emphasis on the bacterial adaptive immune system called CRISPR/Cas9. This article will also analyze the applications of CRISPR/Cas9 in rewriting the human genome in clinical and research settings and its potential future therapeutic applications. In addition, we shall consider the technical complexities which need to be overcome for the safe and effective delivery of this novel therapy and how the ethical concerns associated with this revolutionary genome engineering technique have constrained research in germ-line genome-editing. Furthermore, we shall highlight the role of scientific regulatory committees in evaluating and assessing safety issues such as potential complications before translating this proof-of-concept evidential approach to clinical reality. More research is required to explore the risk of hazardous genome edits and to fine-tune and improve this novel therapeutic system. Although the inexpensive and easy to use CRISPR/Cas9 platform paves new ways for precise genome editing, clinical application is still at an early stage.

Keywords: Immunogenic; CRISPR/Cas9 system; Homology-directed repair

Introduction

CRISPR/Cas9 genome-editing system comprises an enzymatic machinery or "molecular scissors" Cas9 that causes site-specific DNA double stranded breaks (DSB) in a sequence-specific manner using a guide sequence within an easy-to-synthesize tracrRNA-crRNA duplex molecule [1-12] (**Figure 1**).

CRISPR/Cas9 is mainly used to either disrupt genes or correct specific genes by inserting specific DNA sequences to replace the defective ones. In both approaches, the cell repair machinery rejoins the ends via either non-homologous end joining (NHEJ) or homology-directed repair (HDR) (**Figure 2**). CRISPR/Cas9-mediated knockout is usually preferred rather than inserting or replacing sequences because the latter requires a very adequate HDR pathway [13-16].

CRISPR/Cas9-mediated gene therapy components could be delivered *in vivo* or *in vitro*. *In vivo*, it can be delivered directly into tissues by using the most common adeno-associated virus (AAV) vector procedure [17-20], or non-viral-based pathways such as hydrodynamic injection [21,22].

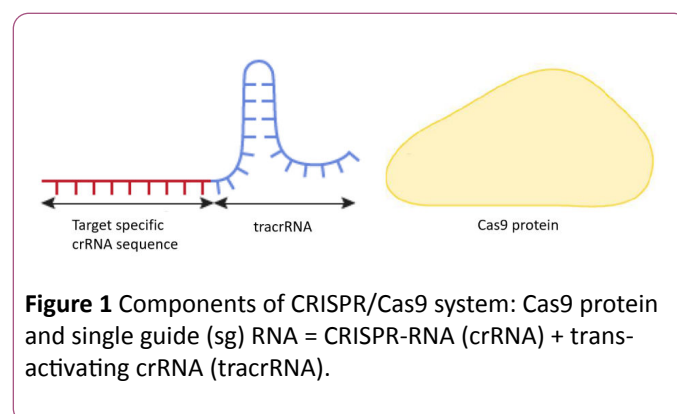
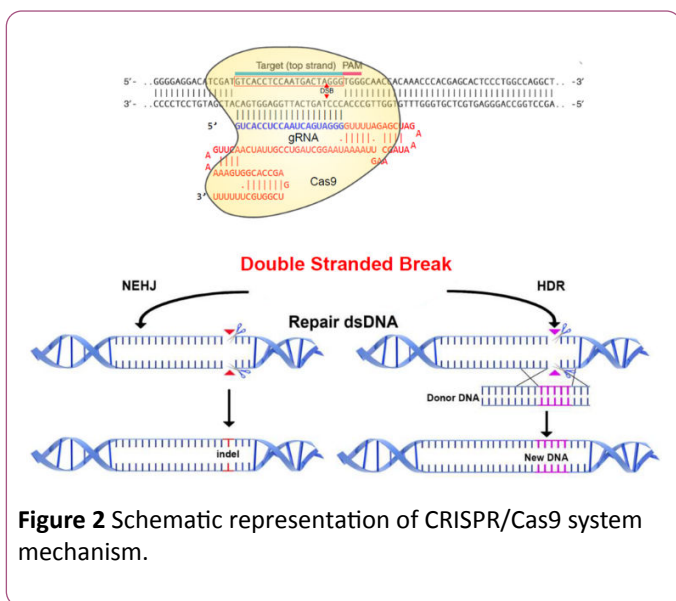


Figure 1 Components of CRISPR/Cas9 system: Cas9 protein and single guide (sg) RNA = CRISPR-RNA (crRNA) + trans-activating crRNA (tracrRNA).



The non-viral-based delivery of CRISPR/Cas9 via hydrodynamic injection was employed in to correct tyrosinaemia-causing mutation, but it was ineffective in humans [23]. The *ex-vivo* procedure is usually performed by either the electroporation-mediated transfection technique, which enables CRISPR components to be easily delivered to difficult-to-transfect cells such as human T-cells [24]. The electroporation-mediated transfection technique was successfully used to restore dystrophin expression of skeletal muscles in Duchenne muscular dystrophy (DMD) in mice [25]. The *ex-vivo* delivery can also be performed by obtaining adult stem cells or fibroblasts from patients to be cultured and reprogrammed, and then to be corrected by CRISPR/Cas9 and eventually reintroduced to the patient. This approach circumvents some difficulties in delivering these novel gene-editing therapies to the right tissues, such as delivering CRISPR/Cas9 to target β -globin gene for treatment of β -thalassaemia [26].

Recent Advances and Applications

CRISPR/Cas9 has helped to programme transcriptional factors to control gene expression by performing homozygous knock-in or biallelic knockout in order to create transgenic or disease models such as in gain- or loss-of-function studies [27,28]. We can also alter gene expression by using a dead or broken Cas9 enzyme to block the binding of RNA polymerase needed for the gene to be expressed or attaching a dead or broken Cas9 protein to transcriptional factors or activator to stimulate the gene expression [29,30]. Furthermore, CRISPR-Cas9 was used to construct somatic and germline mouse models with point mutations or chromosomal deletions in multiple genes using multiple gRNAs, and even more complex chromosomal rearrangements. Researchers successfully integrated large transgenes via AAV vectors into primary human T cells, CD34+ hematopoietic stem and progenitor cells [31,32]. Such models can also be used to broaden our understanding of the progression of specific tumours and help identify new approaches for cancer therapy [33]. Unlike

conventional RNA interference, which cleaves only dsRNA using Dicer enzyme with high off-target effects and low reproducibility [34], reversible CRISPR/Cas9-mediated transcriptional repression can target any specific region throughout the whole genome with more predictable off-target effect [35-38]. In the field of epigenetics, a dead Cas9 could be attached to epigenetic modifiers to activate DNA methylation by adding methyl group to DNA or to modify histones by adding acetyl groups to histone proteins, making epigenome reprogrammable and furthering our understanding of how a specific modification influences gene expression [30].

Scientists successfully modulated human CD4+ T-cells *in vitro* by disabling a protein that is used by HIV to infect these cells [24]. They were also able to insert a specific DNA sequence to modify the expression of PD-1 that enables T-cells to attack tumours. This has potential therapeutic applications not only in the treatment of HIV, but also cancer and autoimmune disorders. However, delivering cas9 and single-guide RNA via an AAV vector was insufficient to modify protein expression in T-cells *in vivo*. An effective solution was found by editing the T-cells *in vitro* and these cells were then returned to the patient [24]. Similarly, by applying a lentivirus-delivered RNA-guided CRISPR/Cas9 system *ex-vivo* on cultured infected CD4+ T-cells from patients with AIDS at the latent stage, researchers successfully halted viral replication by excising the whole host-integrated HIV-1 genome copies from the infected CD4+T-cells [39]. Extensive examination using diverse methodologies showed sustained therapeutic effect and decreased toxicity compared with DNA-based delivery and there were no off-target effects or complications regarding various biological functions of patients' cells [39]. They thereby established an alternative evolutionary therapy to expensive lifetime antiretroviral treatment. However, individualized CRISPR/Cas9 systems are needed due to the supposed versatility of different integrated HIV-1 sequences with the CD4+ T-cells of different patients [40].

A breakthrough was made by three separate groups who effectively corrected Duchene muscular dystrophy in mice by excising one or more exons from the DMD gene to restore the reading frame disrupted by frameshift deletion or duplication mutations in the DMD gene [13,41-43]. Snipping out exon23 resulted in shortened yet functional dystrophin protein in muscles of various organs, which reduced disease severity, and more importantly in the myocardium, which lessened the risk of premature fatal heart failure [13]. In one study, systemic delivery of CRISPR/Cas9 helped dystrophin expression increase over time due to positive selection for the edited cells phenotype, which means that the effect is maintained for a long time [43]. Exhaustive evaluation of the functions of the whole genome was performed to test the specificity and efficacy of this system by robust methodologies like PCR and Sanger sequencing which confirmed the induced excision. Moreover, different biochemical, immunoassay, histological and morphological examinations showed that dystrophin expression was partially restored and the muscular function improved in most vital organs [43]. This provides convincing evidence about the efficacy of one-time administration of AAV-CRISPR-mediated therapy in Duchene muscular dystrophy in

new-born and adult mice. Inexplicably, the systemic delivery of CRISPR/Cas9 in one study resulted in no observed improvement in the muscle function of distal extremities [13]. Even though there were no observable off-target effects in animal models, the small number of in-silico predicted sites does not cover the potential off-target activities *in vivo* in humans. Therefore, the *in vivo* safe delivery of this therapy should be evaluated using other extensive measures, such as wide-genome assessment, before such experiments can be developed into therapeutics in the clinic [41]. More interestingly, in Duchenne muscular dystrophy caused by exons duplication, snipping out the duplication of DMD exons 18–30 restored the full-length of dystrophin [44].

Furthermore, researchers have successfully restored the normal activity and functionality of cystic fibrosis transmembrane conductor receptors (CFTR) in human intestinal organoids by correcting mutations in the CFTR locus in cultured intestinal stem cells using CRISPR/Cas9-mediated

homologous recombination followed by delivering the product to the patient’s colon [45-47]. However, as cystic fibrosis can affect multiple organs, this approach has clear limits. In an experiment to correct mutations in Fah gene in hepatocytes of a mouse model for the treatment of tyrosinaemia and in order to deliver CRISPR system into the liver to correct the mutant gene by only 0.04%, they needed to pour large quantities into the circulation, which is not feasible [23].

CRISPR/Cas9 has also been efficiently used to correct β -thalassaemia via *ex-vivo* editing of mutations in the patient-specific primary somatic stem cells or iPSCs and these edited cells could be transplanted in the patient’s bone marrow [48]. These findings encouraged researchers under the existing rules in China to cross the ethical boundaries and try to correct mutations in β -globin (HBB) gene in human tripronuclear zygotes. However, mosaicism and off-target cleavages occurred [49]. The main advances and applications of CRISPR/Cas gene editing were summarized in **Table 1**.

Table 1 The main recent advances and applications of CRISPR/Cas systems.

Applications	Refernces
Repair of CFTR in intestinal stem cell organoids of patients with cystic fibrosis	Schwank, Gerald, et al. (2013)
Correction of a Fah mutation in hepatocytes in a mouse model for the treatment of tyrosinemia	Yin, Hao, et al. (2014)
knockout for genes involved in resistance to the BRAF protein kinase inhibitors in human cells for the treatment of melanoma	Shalem, Ophir, et al. (2014)
Gene correction of β -thalassemia mutations in patient-specific iPSCs	Xie, Fei, et al. (2014)
<i>Correcting Crygc gene in cataracts in mouse spermatogonial stem cells</i>	Wu, Yuxuan, et al. (2015)
"knock in" targeted genome modifications to modulate T-cell primary human CD4+ T-cells in treatment of HIV	Schumann, Kathrin, et al. (2015)
Gene editing of β -thalassemia mutations in human tripronuclear zygotes	Liang, Puping, et al. (2015)
CRISPR/Cas9 β -globin gene targeting in human haematopoietic stem cells in treatment of sickle cell disease and β -thalassaemia	Dever, Daniel P, et al. (2016)
Integration of a super-exon into the CFTR of cystic fibrosis cell lines	Bednarski, Christien, et al. (2016)
Elimination of HIV-1 genomes from human CD4+ T-cells	Kaminski, Rafal, et al. (2016)
Genome editing in chronic Hepatitis B virus (HBV) infection	Seeger, Christoph, and Ji A. Sohn. (2016)
Gene correction of cardiomyopathy in mice	Carroll, Kelli J, et al. (2016)
Correcting Alzheimer’s Disease-associated mutations in APOE4 in mouse astrocytes and TP53 mutations in a mouse breast cancer line	Komor, Alexis C, et al. (2016)
Correction of the sickle cell disease in primary hematopoietic stem cells	DeWitt, Mark A, et al. (2016)
Exon Snipping in Duchenne Muscular Dystrophy in a mouse model, muscle stem cells and in human hiPSC-derived muscle cells	Nelson, Christopher E, et al. (2016)
	Tabebordbar, Mohammadsharif, et al. (2016)
	Young, Courtney S, et al. (2016)
	Young, Courtney S, et al. (2017)
Targeting FGFR3 oncogenic fusions for urothelial carcinomas in cell lines	Faltas, Bishoy M, et al. (2017)

Technical Issues

Because of the need in some instances to repeat administration of such therapy to sustain the therapeutic

effect, self-limiting proinflammatory response might be triggered. An example of this is gene-editing therapy used in the treatment of Human Immunodeficiency Virus [50]. Moreover, neutralizing antibodies may be produced which annul the circulating viral vectors [17,51]. In order to minimize

the toxicity and evade the immunogenicity and neutralizing antibodies produced, procedures such as delivery of carefully-measured and smaller AAV vectors and/or *ex vivo* treatment of cells followed by transplanting them into the patient's tissues can be used [52]. Nevertheless, the small adeno-associated virus (AAV) vectors affect their efficacy. Thus, there is a need for research into the development of safer and more effective non-viral-based approaches.

Since delivering a CRISPR/Cas system to T-cells is quite difficult due to the high efficiency and reliability of DNA repair pathways in human primary cells, scientists have overcome this in progenitor and hematopoietic stem cells by modifying the guide RNA chemically to increase the transfection and by delivering the Cas9 protein itself or its mRNA instead of DNA [53]. For more precise and efficient genome editing, CRISPR/Cas9 activity could be adjusted by enhancing or inhibiting DNA repair systems. Because of the competition between NHEJ and HDR repair pathways, enhancing HDR-mediated genome editing could be achieved by inhibiting NHEJ using a specific DNA ligase inhibitor [54]. Moreover, inhibiting HDR by using novel small molecules or chemical compounds can induce NHEJ-mediated mutations [55,56].

Because of Cas9 requires proto-spacer adjacent motifs (PAMs) sequence on the crRNA-complementary strand to be specific and able to cleave the sequence of interest, different CRISPR systems with different PAM recognition sequences and different crRNA for different purposes are required to target regions that lack this PAM sequence [57]. Therefore, the off-target effects should be carefully re-evaluated for each candidate system due to potential long-term and deleterious oncogenic effects on patients. As the specificity of CRISPR/Cas9 is decided by just a 14 base pairs long sequence (the 12 base pairs of the guide RNA and the 2 or 3 base pairs of the PAM), the sequence length of unique 14 base pairs to be repeated is 268 Mb. Therefore, for big genomes, this increases the chances of off-target activities [35].

Possible Therapeutic Applications

The enzymatic machinery of CRISPR/Cas9 system could be harnessed to halt the growing resistance to antibiotics by attacking major virulence genes or removing microbial DNA sequences responsible for pathogenicity or antibacterial resistance [58,59]. This approach was used and scientists successfully sensitized *Staphylococcus aureus* to antibiotics and improved the therapeutic efficacy of antimicrobials against *Staphylococcus aureus* *in vitro* and *in vivo*. These candidate selective programmable antimicrobial CRISPR-Cas9 systems can potentially be used in the clinic and help evade the promiscuous bactericidal effect of conventional antibiotics [58,60,61].

CRISPR/Cas9 was efficiently used to cleave the genome of DNA viruses such as Epstein-Barr virus in human cells and to target herpesvirus genomes in herpesvirus induced diseases such as lymphomas and adenocarcinomas [62-67]. The RNA-targeting CRISPR/C2c2 system, which is guided by a single crRNA and has ribonuclease function, could be designed to

knock down specific mRNA or cleave the single-stranded viral RNA that carries complementary protospacers, establishing CRISPR/C2c2 system as a novel RNA-targeting strategy [68,69].

Furthermore, by identifying the genes involved in drug resistance, CRISPR/Cas9 systems can be harnessed to develop gene-deactivating targeted therapeutics which can be in the treatment of cancer such as performing knockout for genes associated with drug-resistance to the BRAF protein kinase inhibitors used for the treatment of melanoma [36].

More importantly, in complex diseases instead of conducting laborious and time-consuming experiments to cause multiple mutations in animal models to refine the loci involved, we can potentially utilize CRISPR methodology even in human-derived cells to isolate genetic signals or identify various functions a particular pleiotropic gene [27]. However, programming CRISPR to cause mutations and create models for human diseases implies major risks, even in animals, due to possible errors in programming the guide RNA sequence that might theoretically enable CRISPR to target human genomes.

Ethical Issues

Although commercial companies could exclusively hold patents or intellectual property rights of each drug for each candidate gene edited, the most controversial ethical issue of CRISPR is its potential utilization in editing embryos or gametes [70]. It is considered unethical and even illegal to edit human embryos with the purpose of using them to achieve fertilization [71]. Although the embryos in the human tripronuclear zygotes editing trial were non-viable, the purpose of them was to implant them to achieve a pregnancy or *in vitro* fertilization and not for research purposes [49]. Editing pre-implantation embryos is still a controversial issue since it can be exploited to "design" babies [72,73]. Many scientists have suggested that the gene versions that we have are not necessarily the perfect ones and started to call for rewriting even the normal genes [74]. Serious ethical issues have arisen from altering healthy embryos by affixing a gene that encodes a preferred phenotype to specific DNA elements that have the ability to be copied exponentially across the chromosomes to increase the chance of passing down this gene quickly to subsequent generations. However, such potential application could encourage research in this field. Even though the designed therapeutic effect could not be achieved in somatic cells in many cases, ethical concerns that arise from human germ-line modification or a moratorium imposed on editing human embryos for research purposes might restrict the clinical research and negatively affect studies on somatic cells [75]. It has been argued that law makers should allow experiments on the relatively easy-to-attain cells from patients to evaluate the effectiveness and possible off-target effects. Therefore, a framework should be established to enable the research to proceed by defining the ethical boundaries and eliminate the concerns regarding the germ-line editing.

Conclusion

In conclusion, the aforementioned proof-of-principle studies provide evidence that CRISPR/Cas genome-engineering systems have brought about a huge revolution in medical practice with a myriad of promising therapeutic applications for as yet untreatable disorders. However, this is a longstanding goal due to the tremendous technical and ethical challenges of applying this technology. Despite the theoretical benefits of the pre-implantation treatment to eradicate intractable genetic disorders before birth, it is still widely considered unethical and has negatively affected somatic cell genome-editing as well [72,76]. Unlike somatic cell genome editing, the permanent heritable changes that result from modification of sperm or egg-producing cells could be evolutionarily inappropriate and might have long-term and deleterious consequences for human genetic adaptation to environmental changes and for the whole ecosystem. Finally, germ-line editing could be applied to non-medical uses such as genetic enhancement, so the ethical frontiers that regulate the utilization of CRISPR/Cas9 technology remain to be fully addressed.

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